IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Group Art Unit Not Yet Known

In re

Patent Application of

John M. Roche, et al.

Application No. Not Yet Known

Confirmation No. Not Yet Known

Filed: March 29, 2004

Examiner: Not Yet Known

"REFRIGERATION UNIT HAVING A LINEAR COMPRESSOR"

I, Kerry Dake, hereby certify that this correspondence is being deposited with the US Postal Service as Express Mail in an envelope addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date of my signature.

Signature

3.29.0

Date of Signature

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A and/or PTO/SB/08B and copies of which non-patent references are attached.

Citation of these references is respectfully requested.

No concession is made that these documents are prior art, and Applicant expressly reserves the right to antedate the documents as may be appropriate.

Respectfully submitted.

Reg. No. 43,996

File No. 047177-9112 Michael Best & Friedrich LLP 3773 Corporate Parkway Suite 360 Center Valley, Pennsylvania 18034 262-956-6560 T:VCLIENTA\047177\9112\F0091380.1 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substi	Substitute of form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY				Application Number	Not Yet Known	
	APPLICANT			Filing Date	March 29, 2004	
				First Name Inventor	John M. Roche	
				Group Art Unit	Not Yet Known	
(us	(use as many sheets as necessary)			Examiner Name	Not Yet Known	
Sheet	1	of	5	Attorney Docket Number	047177-9112	

	U.S. PATENT DOCUMENTS					
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document			
	4,404,802	Beale	9/20/1983			
	4,583,364	Wood	4/22/1986			
	4,602,174	Redlich	7/22/1986			
	4,623,808	Beale et al.	11/18/1986			
	4,649,283	Berchowitz et al.	3/10/1987			
	4,805,408	Beale et al.	2/21/1989			
	4,864,232	Redlich	9/5/1989			
	4,866,378	Redlich	9/12/1989			
	4,912,409	Redlich et al.	3/27/1990			
	4,926,123	Redlich	5/15/1990			
	4,954,053	Inoda et al.	9/4/1990			
	5,003,777	Berchowitz	4/2/1991			
	5,079,924	van der Broeck et al.	1/14/1992			
	5,125,241	Nakanishi et al.	6/30/1992			
	5,127,235	Nakanishi et al.	7/7/1992			
	5,148,066	Beale et al.	9/15/1992			
	5,255,521	Watanabe	10/26/1993			

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substi	Substitute of form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY				Application Number	Not Yet Known	
APPLICANT				Filing Date	March 29, 2004	
				First Name Inventor	John M. Roche	
				Group Art Unit	Not Yet Known	
(use as many sheets as necessary)			ע)	Examiner Name	Not Yet Known	
Sheet	2	of	5	Attorney Docket Number	047177-9112	

	U.S.	PATENT DOCUMENTS	U.S. PATENT DOCUMENTS					
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document					
	5,342,176	Redlich	8/30/1994					
	5,385,021	Beale	1/31/1995					
	5,438,848	Kim et al.	8/8/1995					
	5,440,894	Schaeffer et al.	8/15/1995					
	5,450,521	Redlich	9/12/1995					
	5,457,956	Bowman et al.	10/17/1995					
	5,461,859	Beale et al.	10/31/1995					
	5,496,153	Redlich	3/5/1996					
	5,502,968	Beale	4/2/1996					
	5,525,845	Beale et al.	6/11/1996					
	5,535,593	Wu et al.	7/16/1996					
	5,537,820	Beale et al.	7/23/1996					
	5,579,653	Sugiyama et al.	12/3/1996					
	5,592,073	Redlich	1/7/1997					
	5,642,088	Unger	6/24/1997					
	5,642,622	Berchowitz et al.	7/1/1997					
	5,715,693	van der Walt et al.	2/10/1998					

Examiner		Date	
Signature		Considered	
	1		1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Subst	Substitute of form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY				Application Number	Not Yet Known	
APPLICANT				Filing Date	March 29, 2004	
				First Name Inventor	John M. Roche	
				Group Art Unit	Not Yet Known	
(u	(use as many sheets as necessary)			Examiner Name	Not Yet Known	
Sheet	3	of	5	Attorney Docket Number	047177-9112	

	U.S.	PATENT DOCUMENTS	
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
	5,741,120	Bass et al.	4/21/1998
	5,749,226	Bowman et al.	5/12/1998
	5,775,273	Beale	7/7/1998
	5,873,246	Beale	2/23/1999
	5,813,569	Cihanek	9/29/1998
	5,818,131	Zhang	10/6/1998
	5,941,079	Bowman et al.	8/24/1999
	6,035,637	Beale et al.	3/14/2000
	6,038,874	van der Walt et al.	3/21/2000
	6,047,557	Pham et al.	4/11/2000
	6,138,459	Yatsuzuka et al.	10/31/2000
	6,170,442	Beale	1/9/2001
	6,199,381	Unger et al.	3/13/2001
	6,205,792	Anderson	3/27/2001
	6,266,963	Rudick	7/31/2001
	6,272,867	Barrash et al.	8/14/2001
	6,289,680	Oh et al.	9/18/2001

	, 	
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute of form 1449/PTO			· · · · · ·	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY				Application Number	Not Yet Known	
APPLICANT				Filing Date	March 29, 2004	
				First Name Inventor	John M. Roche	
				Group Art Unit	Not Yet Known	
(use as many sheets as necessary)			ע)	Examiner Name	Not Yet Known	
Sheet	4	of	5	Attorney Docket Number	047177-9112	

	U.S. PATENT DOCUMENTS					
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document			
	6,293,184	Unger	9/25/2001			
	2001/0039802	Barrash	11/15/2001			
	6,347,523	Barrash et al.	2/19/2002			
	6,347,524	Barrash et al.	2/19/2002			
	6,378,313	Barrash	4/30/2002			
	6,381,972	Cotter	5/7/2002			
	6,383,852	Pham et al.	5/28/2002			
	6,408,635	Pham et al.	6/25/2002			
	6,438,974	Pham et al.	8/27/2002			
	6,449,972	Pham et al.	9/17/2002			
	6,467,276	Chung et al.	10/22/2002			
	6,467,280	Pham et al.	10/22/2002			
	6,481,216	Simmons et al.	11/19/2002			
	6,499,305	Pham et al.	12/31/2002			
	6,532,749	Rudick et al.	3/18/2003			
	6,536,326	Unger et al.	3/25/2003			
	6,641,377	Toyama et al.	11/4/2003			

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute of form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY				Application Number	Not Yet Known
APPLICANT				Filing Date	March 29, 2004
				First Name Inventor	John M. Roche
				Group Art Unit	Not Yet Known
(use as many sheets as necessary)			אצי	Examiner Name	Not Yet Known
Sheet	5	of	5	Attorney Docket Number	047177-9112

U.S. PATENT DOCUMENTS				
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	
	6,647,735	Street et al.	11/18/2003	
	2002/0020175	Street et al.	2/21/2002	

T:\CLIENTA\047177\9112\F0091322.1

		<u> </u>
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB number.

Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Not Yet Known	
				Filing Date	March 29, 2004	
				First Named Inventor	John M. Roche	
				Group Art Unit	Not Yet Known	
(use as many sheets as necessary))	Examiner Name	Not Yet Known	
Sheet	1	of	1	Attorney Docket Number	047177-9112	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.		
RICHARD BABYAK; Calling on Compressors, Efficiency goals inspire innovative designs; http://www.ammagazine.com/CDA/ArticleInformation/features/BNP_Features_Item; June 6, 2000; 6 pages.		
SUNPOWER; Compressors, Linear Compressors for Refrigeration and Other Applications; http://www.sunpower.com/technology/cryo.html ; printed March 29, 2004; 2 pages.		
SUNPOWER; Compressors, Linear Compressor Commercialization for home refrigeration; http://www.sunpower.com/technology/lg_pics.html ; printed March 29, 2004; 2 pages.		
SUNPOWER; Linear Compressors for Refrigeration; http://www.sunpower.com/technology/line_comp.html ; printed March 29, 2004; 2 pages.		
UNGER, REUVEN; Development and Testing of a Linear Compressor Sized for the European Market; 1999; 7 pages.		
UNGER, REUVEN; Linear Compressors for Clean and Specialty Gases; 1998; 6 pages.		
UNGER, REUVEN, et al.; Linear Compressors for Non-CFC Refrigeration; 1996; 6 pages.		
REDLICH, ROBERT, et al.; Linear Compressors: Motor Configuration, Modulation and Systems; 1996; 6 pages.		
VAN DER WALT, et al.; Linear Compressors - A Maturing Technology; 1994; 6 pages.		

T:\CLIENTA\047177\9112\F0091369.1

Examiner	Date
Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.